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APPLICATION NUMBER FILING OR 371 (c) DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

10/827,100

04/19/2004

Lehmann K. Li

4002-3225

....

Woodard, Emhardt, Moriarty, McNett & Henry LLP

Bank One Center/Tower Suite 3700 111 Monument Circle Indianapolis, IN 46204-5137



CONFIRMATION NO. 1454

FORMALITIES LETTER

OC00000013090832

Date Mailed: 06/28/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

 Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27)
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

• Additional claim fees of \$126 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1026 for a Large Entity

• \$770 Statutory basic filing fee.

08/17/2004 BABRAHA1 00000060 10827100

• \$130 Late oath or declaration Surcharge.

770.00 OP
01 FC:1001 130.00 OP
02 FC:1051 126.00 OP
03 FC:1202

Total additional claim fee(s) for this application is \$126

■ \$126 for 7 total claims over 20.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

<u>us</u>

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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*	. 40	9

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in this Submission

Application Number	10/827.100
Filing Date	April 19, 2004
First Named Inventor	Lehmann K. Li
Group Art Unit	3764
Examiner Name	
Attorney Docket Number	4002-3578/PC786.02

	ENG	CLOS	JRES (check all that a	ipply)			
\	Fee Transmittal Form		Assignment Papers	•		After Allowance Communication to Group	
ľ	Fee Attached		Drawing(s)			Appeal Communication to Board of Appeals and Interferences	
	Amendment Response		Licensing-related Papers			Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
	After Final		Petition Routing Slip (PTO/ Accompanying Petition	(SB/69) and		Proprietary Information	
	Affidavits/declaration(s)		To Convert a Provisional A	pplication		Status Letter	
	Extension of Time Request		Power of Attorney, Revoca Change of Correspondence		\boxtimes	Additional Enclosure (please identify below)	
	Express Abandonment Request		Terminal Disclaimer		\boxtimes	Return Receipt Postcard	
Information Disclosure Statement		Small Entity Statement			Copy of Notice to File Missing Parts of Nonprovisional Application		
	Certified Copy of Priority Documents		Request for Refund				
	Response to Missing Parts/ Incomplete Application	Rema	rks				
\boxtimes	Response to Missing Parts under 37 CRF 1.52 or 1.53						
	SIGNATURE	OF A	PPLICANT, ATTORNE	EY, OR AG	ENT		
Firm	Brad A. Schepers, Reg. No. 45,43	1					
<i>or</i> Indivi	dual Name Woodard, Emhardt, Moriarty, McN	lett & H	enry LLP				
Signa	1/2 11/1	۰,					
Date	August 12, 2004	4					
		С	ertificate of Mailing				
i here Comi	by certify that this correspondence is being deposimissioner for Patents, P.O. Box 1450, Alexandria, \	ted with /A 2231	the United States Postal Se 3-1450 on this date:	rvice as first o	lass ma	il in an envelope addressed to:	
Type	d or printed Brad A. Schepers	-					
Signa	ature (Model Sh	<u> </u>		Date	August	12, 2004	

Doc.297241

Doc. No. 297269 WEMMH/SB/17 (12/03)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL	-	mlinntin-	Mumb			7 400	
		plication I	vurnoer		10/82		
FOR FY 2004		ing Date		<u> </u>	April 19, 2004		
Effective 01/01/2003. Patent fees are subject to annual revision.		irst Named Inventor		+	ann K. Li		
	Gre	oup Art U	nit		3764	AUG 1 6 2004	1
Applicant claims small entity status. See 37 CFR 1.27	Exa	aminer Na	ame			signed 🖳	ć
Total Amount of Payment (\$) 1,026.00	Att	orney Doo	cket Num	ber	1	3578/PC786.02	
METHOD OF PAYMENT					FEE CA	LCULATION (continued)	
Check Credit card Money Other	lone	3. ADD	ITIONAL	FEES			
Order		Large			Entity	Fee Description	Fee Paid
N		Fee Code	Fee (\$)	Fee Code	Fee (\$)	ree Description	
Deposit Account:		1051	130	2051	65	Surcharge - late filing fee or oath	130.00
Deposit Account Number		1052	50	2052	25	Surcharge – late provisional filing fee or cover sheet.	
Deposit		1053	130	1053	130	Non-English specification	
Account Woodard, Emhardt, Moriarty,		1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
Name McNett & Henry LLP		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner's Action	
The Commissioner is authorized to: (check all that apply) ☐ Charge fee(s) indicated below ☐ Credit any overpaymen	s	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner's Action	
Charge any additional fee(s) during the pendency of this applic excluding the payment of issue fees		1251	110	2251	55	Extension for reply within first month	
Charge fee(s) indicated below, except for the filing fee to the identified deposit account.	above-	1252	420	2252	210	Extension for reply within second month	
FEE CALCULATION		1253	950	2253	475	Extension for reply within third month	
1. BASIC FILING FEE		1254	1,480	2254	740	Extension for reply within fourth month	
Large Entity Small Entity Fee		1255	2,010	2255	1,005	Extension for reply within fifth month	
Fee Code Fee (\$) Description Fe	e Paid	1401	330	2401	165	Notice of Appeal	
1001 770 2001 385 Utility Filing Fee 7	0.00	1402	330	2402	165	Filing a brief in support of an appeal	
1002 340 2002 170 Design Filing Fee		1403	290	2403	145	Request for oral hearing	
1003 530 2003 265 Plant Filing Fee		1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1004 770 2004 385 Reissue Filing Fee		1452	110	2452	55	Petition to revive – unavoidable	
1005 160 2005 80 Provisional Filing Fee		1453	1,330	2453	665	Petition to revive – unintentional	
SUBTOTAL (1) (\$)	770.00	1501	1,330	2501	665	Utility issue fee (or reissue)	
2. EXTRA CLAIM FEES		1502	480	2502	240	Design issue fee	
Extra Fee From Below F	ee Paid	1503	640	2503	320	Plant issue fee	
Total Claims 27 -20** = 7 X 18.00 = 1	26.00	1460	130	1460	130	Petitions to the Commissioner	;
Claims	.00	1807	50	1807	50	Petitions related to provisional applications	
Multiple Dependent =		1806	180	1806	180	Submission of Information Disclosure Stmt	
,		8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
Large Entity Small Entity Fee Description		1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
Code (\$) Code (\$) 1202 18 2202 9 Claims in excess of 20		1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1201 86 2201 43 Independent claims in exces	s of 3	1801	770	2801	385	Request for Continued Examination (RCE)	
1203 290 2203 145 Multiple dependent claim, if		1802	900	1802	900	Request for expedited examination of a design application	
1204 86 2204 43 **Reissue independent claim	s over			1		· • • • • • • • • • • • • • • • • • • •	
original patent 1205 18 2205 9 **Reissue claims in excess of and over original patent	f 20	Other Fe	ee (specify	•			
SUBTOTAL (2) (\$) 126.00		* Reduc	ed by Basi			SUBTOTAL (3) (\$) 130.00	
**or number previously paid, if greater; For Reissues, see above		l				• • • • • • • • • • • • • • • • • • • •	
SUBMITTED BY.						Complete (if applicable)	

Name (Print/Type) Registration No. 45,431 (317) 634-3456 Telephone (Attorney/Agent) Signature August 12, 2004 Date

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. This collection of information is required by 37 CFR 1.135(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes to compete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Doc.297243

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

OIPE	In re patent application of: Lehmann K. Li)	Before the Examiner: Kevin L. Lee
AUG 1 6 2004 B	Application Serial No. 10/827,100)	Group Art Unit 3764
TA MADEMARK OF	Filed: April 19, 2004)))	Ref. No. 4002-3578/ PC786.02
	DEVICE AND METHOD FOR)	
	DETERMINING PARAMETERS)	
	OF BLIND VOIDS)	

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION (FILING DATE GRANTED)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Sir:

In response to the "Notice to File Missing Parts of Nonprovisional Application" dated June 28, 2004 in the above-referenced patent application, Applicant hereby submits a check for the statutory basic filing fee, the additional claim fees, and the surcharge for late filing fee, as set forth in 37 CFR 1.16(l), all in the total amount of \$1026.00. A copy of the Notice to File Missing Parts is also enclosed. The Commissioner is authorized to charge any deficiencies or credit any overpayments to Deposit Account No. 23-3030, but not to include payment of issue fees.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:
August 12, 2004
Date of Deposit
Brad A. Schepers
Name of Regimered Representative
Signature
August 12, 2004
Date of Signature
August 12, 2004

Respectfully submitted,

Brad A. Schepers Reg. No. 45,431

Woodard, Emhardt, Moriarty,

McNett & Henry LLP

Bank One Center Tower

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